IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

lication No.

09/975,457

Confirmation No. 9832

Applicant

Gordon T. Brown

Filed:

October 11, 2001

Title

AUTOMATED ACCOUNTING SYSTEM

TC/A.U.

3629

Examiner

Dennis William Ruhl

Docket No.

47781-7

Customer No.

29694

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

VIA FEDEX

United States Patent and Trademark Office Customer Service Window, Mail Stop Amendment Randolph Building 401 Dulaney Street Alexandria, VA 22314 May 17, 2007

Dear Sir:

Pursuant to the provisions of 37 CFR Sections 1.56, 1.97 and 1.98, Applicant submits herewith copies of the documents (other than U.S. patents) cited on the attached Forms PTO/SB/08A and PTO/SB/08B for consideration of the cited documents during prosecution of the subject application.

In addition to the documents listed on the attached PTO/SB/08B form, a copy of Invalidity Contentions filed by the defendant in Civil Action No. 06-cv-00933-AJS in the United States District Court for the Western District of Pennsylvania is enclosed. That suit involves U.S. Patent No. 5,875,435, which is commonly owned by Applicant and which is a continuation-in-part of U.S. Application Serial No. 08/313,988 (the parent of the present application). Several of the documents submitted with this Supplemental Information Disclosure Statement are included in the enclosed Invalidity Contention document. Although Applicant disagrees with the invalidity contentions, they are submitted herewith for consideration by the Examiner.

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This Statement is filed solely for the purpose of complying with the pertinent rules of the Office and is not intended to be a substitute for an independent evaluation by the Examiner of the art cited or an independent search by the Examiner, and no representation of any nature is made or intended by the filing of this Statement.

Respectfully submitted,

Alan G. Towner

Registration No. 32,949

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PTO/SB/08A (04-07)

Approved for use through 09/30/2007, OMB 0651-0031

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INFORMATION	DISCLOSURE

Substitute for form 1449/PTO

STATEMENT BY APPLICANT
(Use as many sheets as necessary)

 Complete if Known

 Application Number
 09/975,457

 Filing Date
 October 11, 2001

 First Named Inventor
 Gordon T. Brown

 Art Unit
 3629

 Examiner Name
 Dennis William Ruhl

 Attorney Docket Number
 47781-7

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages Columns Lines Mines
Initials*	No.1	Number-Kind Code ^{2 (ff known)}	MM-DD-YYYY	Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
		^{US-} 4,025,905	05-24-1977	Gorgens	
		^{US-} 5,287,270	02-15-1994	Hardy et al.	
		US- 5,325,290	06-28-1994	Cauffman et al.	
		US-			
-		US-			
		US-			

			PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Tೆ
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Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08B (04-07)

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Substitute for form 1449/PTO	s are required to respond to a collection	n of information unless it contains a valid OMB control number Complete if Known
	Application Number	09/975,457
INFORMATION DISCLOSURI	Filing Date	October 11, 2001
STATEMENT BY APPLICAN	First Named Inventor	Gordon T. Brown
(Use as many sheets as necessary)	Art Unit	3629
(Ose as many sheets as necessary)	Examiner Name	Dennis William Ruhl
Sheet 1 of 3	Attorney Docket Number	47781-7

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Open Financial Exchange (OFX) Specification 1.0, dated 2/14/1997, publication of CheckFree Corporation, Intuit Inc., and Microsoft Corporation.	
		"Microsoft Online-Banking Strategy Gains Wide Industry Support", Microsoft Corporation press release, 5/8/1996, www.microsoft.com/presspass/press/1996/may96/hmbankpr.mspx.	
		"Quicken User Manual: Version 1.01 for Windows", Intuit Inc., 1991.	
		"Quicken User's Guide: Version 6 for IBM and PC Compatibles", Intuit Inc., February 1993.	
		"Quicken User's Guide: Version 4 for Macintosh", Intuit Inc., May 1993.	
		"Quicken User's Guide: Version 7 for IBM and PC Compatibles", Intuit Inc., September 1993.	
		PAGE ET AL., "Accounting and Information Systems", 4th Ed., Prentice-Hall Publishing, 1992.	
		RAHMAN ET AL., "Accounting Information Systems: Principles, Applications, and Future Directions", Prentice-Hall Publishing, 1988.	
		BOOCKHOLDT, "Accounting Information Systems: Transaction Processing and Controls", 3rd Ed., Irwin Publishing, 1993.	
		PAGE ET AL., "Accounting and Information Systems", 3rd Ed., Prentice-Hall Publishing, 1987.	

Examiner	Date	
Signature	Considered	

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	1000 as many and	0.5 45 77	cocasary)	Examiner Name	Dennis William Ruhl	
Sheet	2	of	3	Attorney Docket Number	47781-7	

		NON PATENT LITERATURE DOCUMENTS	
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		Open Financial Exchange (OFX) Specification 1.0.1, 4/21/1997, publication of CheckFree Corporation, Intuit Inc., and Microsoft Corporation.	
		"White Paper on Open Exchange (OE)", 9/16/1996, publication of Intuit Inc.	
		"Extensible Markup Language (XML): W3C Working Draft 14-Nov-96", World Wide Web Consortium, http://www.w3.org/TR/WD-xml-961114.html.	
	•	WILKINSON, "Accounting Information Systems: Essential Concepts and Applications", John Wiley & Sons, Inc., 1989.	
		WILKINSON ET AL., "Accounting Information Systems: Essential Concepts and Applications", 3rd Ed., John Wiley & Sons, Inc., 1997.	
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		GELINAS ET AL., "Accounting Information Systems", 3rd Ed., South-Western Publishing Co., 1996.	
		DAVIS ET AL., "Accounting Information Systems: A Cycle Approach", 3rd Ed., John Wiley & Sons, Inc., 1990.	

Examiner	Date	<u>-,</u>	
Signature	Considered		

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Sheet	3	of	3	Attorney Docket Number	47781-7

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		NASH ET AL., "Accounting Information Systems", 3rd Ed., South-Western Publishing, 1993.	!
		BODNAR ET AL., "Accounting Information Systems", 5th Ed., Prentice-Hall, Inc., 1993.	
		CUSHING ET AL., "Accounting Information Systems and Business Organizations", 4th Ed., Addison-Wesley Publishing, 1987.	
		WILKINSON, "Accounting and Information Systems: Study Guide", 3rd Ed., John Wiley & Sons, Inc., 1991.	
		MADUEGBUNA, "A Critical Appraisal of the Continued Relevance of Bills of Exchange in an International Electronic Trade Environment", U. of Alberta, Master of Laws Thesis, 1992	
		ANTONIO, "The Usefulness of the 'Audit Trail' in Electronic Data Processing Systems", Univ. of Illinois, Ph.D. dissertation, 5/22/1967.	
		SMITH, "Transnational Banking Networks: A Telecommunications and Microcomputer Application for Small Offshore Operations", U.S. Int'l Univ., Ph.D. dissertation, 5/17/1982.	
		SUMMERS, "Accounting Information Systems", Houghton Mifflin Company, 1989.	
		LEITCH ET AL., "Accounting Information Systems Theory and Practice", 2nd Ed., Prentice-Hall, Inc., 1992.	
		ALTMAN, "CheckFree: A Viable Bill-Paying Alternative", PC Magazine, 1/16/1990.	

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